

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

AAT-109US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/592,023

INTERNATIONAL APPLICATION NO.

PCT/GB05/00924

INTERNATIONAL FILING DATE

10 March 2005 (10.03.2005)

PRIORITY DATE CLAIMED

10 March 2004 (10.03.2004)

TITLE OF INVENTION FAST FOURIER TRANSFORMATION (FFT) WITH ADAPTION OF THE SAMPLING RATE IN DIGITAL RADIO MODIALE (DRM) RECEIVERS.

APPLICANT(S) FOR DO/EO/US

Stewart John Hamish Bell et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

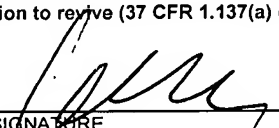
1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Certificate of Express Mail; Response to Missing Requirement; Copy of Notice to Missing Requirement; PTO-2038; Receipt Post Card

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/592,023		INTERNATIONAL APPLICATION NO. PCT/GB05/00924		ATTORNEY'S DOCKET NUMBER AAT-109US	
The following fees have been submitted 21. <input type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300 22. <input type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... \$0 All other situations..... \$200 23. <input type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... \$0 Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US, and provided to the Office or previously communicated to the US by the IB \$400 All other situations..... \$500 TOTAL OF 21, 22 and 23 =				CALCULATIONS PTO USE ONLY \$ \$ \$ \$ \$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) RATE - 100 = /50 = x \$250 \$				\$ 130	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =		x \$ 50	\$	
Independent claims	- 3 =		x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$ 130	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$ 130	
08/24/2007 GFREY1 00000115 10592023 01 FC:16.7 130.00 OP				Amount to be refunded:	\$
				Amount to be charged:	\$ 130
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0350</u> . A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO: <input checked="" type="checkbox"/> Customer Number <u>52473</u> OR <input checked="" type="checkbox"/> Ratner Prestia, P.O. Box 980, Valley Forge, PA 19482 <input type="checkbox"/> Ratner Prestia, P.O. Box 1596, Wilmington, DE 19899 Date: <u>August 21, 2007</u>					
			SIGNATURE  Kenneth N. Nigon NAME <u>31,549</u> REGISTRATION NUMBER		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 10/592,023
Applicant: Stewart John Hamish Bell et al.
Filed: September 7, 2006
Title: FAST FOURIER TRANSFORMATION (FFT) WITH ADAPTION OF THE
SAMPLING RATE IN DIGITAL RADIO MONDIALE (DRM) RECEIVERS
TC/A.U.: 2616
Examiner: Not Yet Assigned


**RESPONSE TO NOTICE TO FILE
MISSING REQUIREMENTS OF U.S. NATIONAL PHASE APPLICATION**

Mail Stop **PCT**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Responsive to the Notice dated May 8, 2007, enclosed is the Declaration/Power of Attorney in compliance with 37 C.F.R. § 1.63. Also enclosed are a copy of the Notice and Credit Card Payment (PTO-2038) for \$130.00 to cover the required surcharge fee.

Respectfully submitted,


Kenneth N. Nigon, Reg. 31,549
Attorney(s) for Applicant(s)

KNN/pb

Enclosures: Declaration/Power of Attorney
Transmittal Form
Copy of Notice
PTO-2038

Dated: August 21, 2007

P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

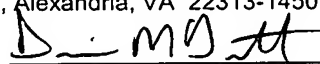
The Director is hereby authorized to charge or credit Deposit Account No. **18-0350** for any additional fees, or any underpayment or credit for overpayment in connection herewith.

EXPRESS MAIL

Mailing Label Number:
Date of Deposit:

EV 811 783 115 US
August 21, 2007

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Dennis McDermott

AAT-109US



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/592,023	FIRST NAMED APPLICANT Stewart John Hamish Bell	ATTY. DOCKET NO. AAT-109US
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52473
 RATNERPRESTIA
 P.O. BOX 980
 VALLEY FORGE, PA 19482

RECEIVED

MAY 10 2007

RatnerPrestia

INTERNATIONAL APPLICATION NO.

PCT/GB05/00924

I.A. FILING DATE

03/10/2005

PRIORITY DATE

03/10/2004

CONFIRMATION NO. 9374

371 FORMALITIES LETTER



OC000000023744466

Date Mailed: 05/08/2007

Rsp Due (2 mos) 7/8/07

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/07/2006
- Copy of the International Search Report filed on 09/07/2006
- Preliminary Amendments filed on 09/07/2006
- Information Disclosure Statements filed on 09/07/2006
- Request for Immediate Examination filed on 09/07/2006
- U.S. Basic National Fees filed on 09/07/2006
- Priority Documents filed on 09/07/2006
- Specification filed on 09/07/2006
- Claims filed on 09/07/2006
- Abstracts filed on 09/07/2006
- Drawings filed on 09/07/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Drub

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE ~~MUST BE SUBMITTED WITHIN TWO (2) MONTHS~~ FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

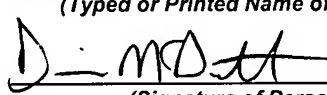
If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

DEBORAH D WILLIAMS

Telephone: (703) 308-9140 EXT 205

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/592,023	PCT/GB05/00924	AAT-109US

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): [Docket No. AAT-109US
Serial No. 10/592,023	Filing Date September 7, 2006	Examiner	Group Art Unit
Invention: FAST FOURIER TRANSFORMATION(FFT) WITH ADAPTION OF THE SAMPLING RATE IN DIGITAL RADIO MONDIALE (DRM) RECEIVERS			
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; min-height: 150px;"><p>1390 transmittal; Formalities Letter; PTO-2038; Response to Notice of Missing Requirement; Declaration and Power of Attorney</p></div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 21, 2007</p> <div style="text-align: right; margin-top: 20px;"><div style="display: inline-block; text-align: left;"><u>Dennis McDermott</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i>  <i>(Signature of Person Mailing Correspondence)</i></div><div style="display: inline-block; text-align: left; margin-top: 20px;"><u>EV 811 783 115 US</u> <i>("Express Mail" Mailing Label Number)</i></div></div>			
Note: Each paper must have its own certificate of mailing.			